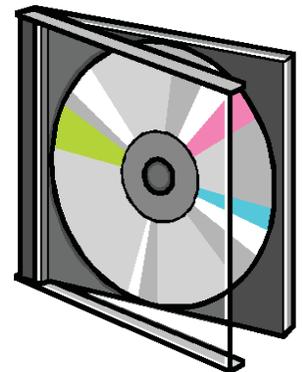
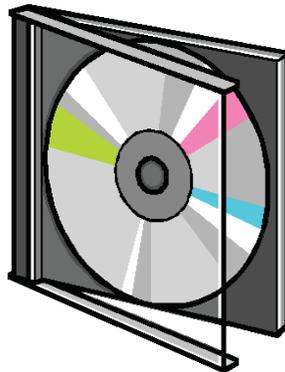
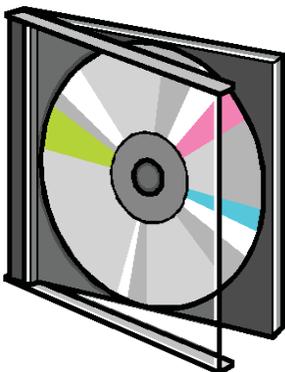


ELECTRONIC REPORTING

- All holder reports **MUST** be filed electronically and be in the NAUPA accepted format.
- You have several options to create your NAUPA accepted format:
 - Create it yourself. You can go to our website at www.treasury.tn.gov/unclaim to download the specifications.
 - Use our **FREE** software. You can go to our website at www.treasury.tn.gov/unclaim to find NAUPA approved software and instructions.
 - Purchase software from other vendors. There are several products on the market that can help you track and create your NAUPA accepted file.
- **ENCRYPTION.** Your report **MUST** be encrypted with our encryption method. All reports not encrypted within our specifications will be returned. If a corrected file is not sent to us in time, your report will be considered late and may be subject to penalties.
 - Go to our website at www.treasury.tn.gov/unclaim to download our free encryption tool.
 - If you created our file using ETM or HRS Pro, your file will automatically be encrypted within our specifications.
 - If you use a third party vendor to create your file, please check with them to see if they obtained our encryption method. If not, you can use the free encryption tool after you have created your file.





STATE OF TENNESSEE ♦ TREASURY DEPARTMENT
 Unclaimed Property Division
 P.O. Box 198649 ♦ Nashville, Tennessee 37219-8649 ♦ (615) 253-5362
 UCP.holders@tn.gov ♦ www.treasury.tn.gov/unclaim

VERIFICATION & AFFIDAVIT OF ITEMS FOR YEAR ENDED DECEMBER 31, 20_____

- A. Holder # _____ Report # (required if E-mail, ACH or Wire) _____
 Indicate any changes to information below in **red ink**:
- Name _____
 Address _____
 Fed Tax ID # _____
 State of Incorporation _____ Date of Incorporation _____
 Name of contact person or department designated to respond to unclaimed property inquiries:
 Name _____
 Telephone _____
 E-mail _____
- B. Type of Company (see Reporting Instructions for list): _____
- C. Type of Report: Annual Negative (no property to report)
- D. Method of Reporting: Encrypted Diskette or CD E-Mail
- E. Total Properties Reported: _____
- F. Cash Property: \$ _____ Penalty Failure to Report by May 1: \$ _____
 Penalty Failure to Remit by May 1: \$ _____
- G. Total Cash Remitted (make check payable to "Treasurer, State of Tennessee"): \$ _____
- H. Payment Method: Check ACH Wire Check, Wire or ACH # _____ DFI # _____
- I. Delivery and Registration of Shares Remitted: (*see instructions*)
- J. Total Number of DTC Book Entry Shares Remitted: _____
- K. Total Number of Physical Securities Remitted: _____
- L. Total Number of Mutual Fund Shares Remitted: _____
- M. Total Number of Shares Transferred: _____
- N. Enclose Confirmation of Delivery of Shares and Mutual Fund Statements.
- O. Indicate if You have Safe Deposit Box Contents to Report: No Yes (*see instructions*)

P. VERIFICATION AND AFFIDAVIT: The undersigned, _____, declares, under penalty of perjury, that, to the best of (his/her) knowledge, the foregoing report and supporting records contain a full, true and complete report of unclaimed property now in the possession or under the control of the holder, which is presumed abandoned in accordance with the provisions of Tennessee Code Annotated, Sections 66-29-101 through 66-29-153. **I have attempted to contact property owners at their last known address by first-class mail not more than 120 days and no less than 60 days prior to the filing date of the report. I am duly authorized to attest to this.**

Date _____
 State of _____
 City/County _____
 Signature of Chief Financial Officer (TCA 66-29-113(f)(g)) _____
 Title _____ Telephone Number _____
 Subscribed and sworn to before me this _____ day of _____ 20____ SEAL (required)
 Notary Public _____

Notarized Signature Required

TENNESSEE UNCLAIMED PROPERTY REPORTING INSTRUCTIONS

(NOT FOR TANGIBLE OR SAFE DEPOSIT BOX CONTENTS. SEE SEPARATE FORM.)

COVER LETTER – VERIFICATION & AFFIDAVIT INSTRUCTIONS

Use the preprinted form that was mailed to you at the beginning of the year. If you do not receive one or lost it, fill out the form in this book.

- A. HOLDER'S NAME AND ADDRESS:** If you are using the preprinted form sent to you, make any corrections. Otherwise, complete all items. It is important that we have a good contact on your Unclaimed Property Report.
- B. TYPE OF COMPANY:** Select the category which best describes your company: State Agency, Bank, Audit Entity, Estate, Finance & Mortgages, Hospitals & Health Care, Insurance Company – Life, Insurance Company – Casualty, Natural Resources, Corporation, Municipality & County, Retailer, Transportation, College & University, Utility, Services, Stock Broker & Mutual Fund, Transfer Agent & Securities, Manufacturing, Hospitality & Hotel, Payroll or Benefit Services, CPA & Other Professionals, Associations & Industry Groups, Media, Restaurant & Food Services.
- C. TYPE OF REPORT:**
 - Annual – This is your required report due May 1.
 - Negative – Positive confirmation that you have nothing to report.
- D. METHOD OF REPORTING:** Select the media you are using to report. You must contact our office and make prior arrangements to e-mail your report.
- E. TOTAL PROPERTIES REPORTED:** Insert the total number of properties listed on your report. This includes cash and securities.
- F. CASH PROPERTY:** Break down of total cash property and any penalties that may be due. See Penalty Calculation Sheet.
- G. TOTAL CASH REMITTED:** Total amount of the check or wire. Make check payable to Treasurer, State of Tennessee. Include your Federal Employer ID number.
- H. PAYMENT METHOD:** Select payment method. For ACH or Wire, contact our office at 615-253-5362 for prior approval and instructions.
- I. SECURITY REGISTRATION AND DELIVERY INSTRUCTIONS:** See Security Delivery Instructions.
- J. TOTAL SHARES SENT DTC:** List total amount of shares transferred DTC or DWAC.
- K. TOTAL SHARES SENT PHYSICAL:** List total amount of physical shares sent with report.
- L. TOTAL MUTUAL FUND SHARES:** List total amount of mutual fund shares transferred to our account.
- M. TOTAL OF ALL SECURITIES:** List total amount of all securities delivered.
- N. CONFIRMATION OF SECURITIES DELIVERED:** Send with report confirmation of any securities transferred.
- O. TANGIBLE AND SAFE DEPOSIT BOX CONTENTS:** Indicate if you have tangible or safe deposit box contents to report on a separate form.
- P. VERIFICATION AND AFFIDAVIT:** Form must be signed and notarized by CFO or other comparable position.